



AUSTRALIA  
CANADA  
IRELAND  
ISRAEL  
**UNITED KINGDOM**  
UNITED STATES  
REST OF WORLD

# **SURGEONS MEDICAL MALPRACTICE**

## APPLICATION FORM

## INTRODUCTION

The purpose of this application form is for us to find out more about you. Completion of this application form does not oblige either you or us to enter into a contract of insurance.

Following a reasonable search you must provide us with all information which may be material to the cover we offer in a clear and accessible manner. Information is material if it would influence our decision whether to insure you, what cover we offer you or what premium we charge you. If you are in any doubt whether a fact or circumstance is material you should disclose it.

## HOW TO COMPLETE THIS FORM

This form should be completed by the applicant who should make all the necessary enquiries to enable our questions to be answered

If you require extra space to answer the questions or provide any other material information, please use the additional information section at the back of the form. Once you have completed the form please return it directly to your insurance broker.

## SECTION 1: PERSONAL DETAILS

1.1 Please provide the following details:

Title:	Full name:
Previous surname (if applicable):	
Gender:	Date of birth:
Personal address:	
	Postcode
Practice address:	
	Postcode:
Mobile telephone number:	Practice telephone number:
E-mail:	

## SECTION 2: QUALIFICATIONS

2.1 Please state:

a) your primary medical qualification and the name of the university and the country where you studied:

Primary medical qualification:	_____
Name of the university:	_____
Country:	_____

b) the year in which you achieved your primary medical qualification:

c) what post graduate qualifications you have attained or any areas of specialist training or fellowships:

d) your GMC Registration Number:

e) the date of original GMC Registration:

f) whether you are on any specialist register(s):

 Yes  No

*If yes, please state which one(s) and the registration date(s):*

Specialist register	Registration:
<input type="text"/>	<input type="text" value="MM / YY"/>
<input type="text"/>	<input type="text" value="MM / YY"/>
<input type="text"/>	<input type="text" value="MM / YY"/>

g) whether you are a member of any professional association(s):

 Yes  No

*If yes, please provide full details:*

h) whether you participate in any national register(s) or interest group(s):

 Yes  No

*If yes, please provide full details:*

SECTION 3: YOUR PRACTICE

3.1 Please provide a full breakdown by time spent of the medical and clinical professional services in which you are qualified and licensed to practice.

The total of all activities listed should equal 100%:

Bariatrics:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Orthopaedics:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Cardiology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Otorhinolaryngology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Cardiothoracic:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Paediatrics:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Dermatology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Pathology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Endocrinology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Pharmacology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Gastroenterology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Physiology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
General practice:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Plastic & reconstructive surgery:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
General surgery ( <i>see below</i> ):	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Psychiatry:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Genetics:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Palliative Care:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Gynaecology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Radiography / radiotherapy:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Haematology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Radiology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Immunology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Rehabilitation:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Maxillofacial:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Rheumatology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Neurology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Urology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Nuclear Medicine:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Vascular:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Oncology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Other:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>
Ophthalmology:	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<b>Total:</b>	<input style="width: 40px; height: 20px; border: 1px solid black;" type="text" value="100%"/>

*If you are a general surgeon, or have indicated 'other', please provide full details:*

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3.2 Please state when you first commenced private practice:

3.3 Please state whether you have ever ceased private practice for any period of time (e.g. sabbatical):

 Yes  No

*If yes, please explain why, including dates:*

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3.4 Please state whether you hold or have held any NHS consultant grade(s)/appointment(s):

 Yes  No

*If yes, please provide full details:*

Hospital Trust	Dates of appointment:
	MM / YY
	MM / YY
	MM / YY
	MM / YY
	MM / YY

3.5 Please state your current practicing privileges:

Hospital Name	Private hospital group (e.g. BMI, Spire Nuffield, Ramsey, HCA, Circle)	Percentage of your overall time in Private Practice
		%
		%
		%
		%
		%
		%

3.6 Please state whether you perform any of the following roles:

MAC Chair

 Yes  No

Member of a Medical Advisory Committee:

 Yes  No

Clinical Supervisor:

 Yes  No

Training Programme Director:

 Yes  No

Examiner:

Yes  No

Other:

Yes  No

*If you have answered yes to any of the above, please provide full details, including the name of the hospital or organisation on whose behalf you performed these roles:*


3.7 Please state your annual gross income (**before expenses**) in respect of the following:

	Last complete financial year	Estimate for the current financial year
Private practice, excluding medico legal work:	_____	_____
Medico legal work (ex VAT):	_____	_____
NHS work not covered by the NHS litigation authority. Please state below (e.g. choose and book, e-referral):	_____	_____
Other:	_____	_____

*In respect of NHS work not covered by the NHSLA, please provide full details, including the hospitals where the work is undertaken. If 'other', please provide full details:*


3.8 Please state the number of private patient episodes recorded in your appraisal, data or e-logbook for the past 12 months:

In-patient treatments:	_____ patient episodes
Out-patient treatments:	_____ patient episodes
New consultations:	_____ patient episodes
Follow-up consultations:	_____ patient episodes
<b>Total:</b>	_____ patient episodes

3.9 Please state whether you undertake any paediatric work in private practice:

Yes  No

*If yes, please state the percentage of your work in this field per annum*

	%
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3.10 Please state whether you undertake any work overseas:

Yes  No

*If yes, please provide the following information in respect of each planned overseas trip during the next 12 months:*

Country	Nature of medical and clinical professional services	Dates and duration of trip
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

3.11 Please state whether you are registered as a data controller under the Data Protection Act:

Yes  No

*If you hold personally identifiable data on your own electronic system you must be registered with the Information Commissioners Office.*

If you hold electronic data on your patients, please state whether you:

- a) have anti virus software installed and enabled on all of your IT equipment, including desktops, laptops and servers (excluding database servers) and confirm that it is updated on a regular basis:  Yes  No
- b) have firewalls installed on all external gateways:  Yes  No
- c) take regular back-ups (at least weekly) of all critical data and store the same offsite or in a fire-proof safe, or whether your outsourced service provider meets this requirement:  Yes  No

#### SECTION 4: OTHER ACTIVITIES

4.1 a) Please state whether you operate a limited liability company, limited liability partnership or similar joint venture:

Yes  No

*If yes, please provide the company name and registration number:*

Company name:	Registration No:
_____	_____
Company number:	Registration No:
_____	_____

b) If you have answered yes to a) above, please state whether this is solely for fiscal reasons:

Yes  No

4.2 a) Please state whether any other healthcare practitioner(s) provide services under the name of your limited liability company or limited liability partnership:

Yes  No

b) Please state whether you directly employ any staff (e.g. administrative, nursing):

Yes  No

*If you have answered yes to a) or b) above, please provide full details:*

Name	Role / job title	Employed/ self employed	Are they a Registered Healthcare Practitioner?	Do you require us to cover their activities?	If no, please state whether they purchase separate indemnity for these activities:
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

4.3 Please state whether you own or operate a hospital, nursing home, clinic, laboratory, day surgical centre or similar facility:

Yes

No

*If yes, please provide full details, including any indemnity in place and the name of the indemnity provider:*

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4.4 Please state whether you undertake any type of work (paid or unpaid) for any sports club(s) or sports professional(s):

Yes

No

*If yes, please provide full details, including the nature of the services provided, the type of sport, the level at which it is played and a copy of any contract in place:*

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4.5 Please state whether you treat any high profile patients whose income is generated by public or media appearances:

Yes

No

*If yes, please provide full details:*

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4.6 Please state whether you provide any oncology services in private practice:

Yes

No

*If yes, please state whether you are part of a multidisciplinary team:*

Yes

No

*If no, please explain why not:*

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4.7 Please state whether you are involved in any transplant work in private practice:

Yes  No

*If yes, please give full details including the number of procedures undertaken per year:*

Type of transplant	No. of procedures:
_____	_____
_____	_____
_____	_____

4.8 Please state whether you are involved in any pain management clinics in private practice:

Yes  No

*If yes, please provide full details including the number of hours worked per month:*

_____
_____
_____

4.9 Please state whether you treat any trauma patients in private practice:

Yes  No

*If yes, please give full details including the number of patients per year:*

_____
_____
_____

4.10 Please state whether you are involved in any clinical trials for which you require cover:

Yes  No

*If yes, please provide full details:*

_____
_____
_____

4.11 Please state whether you provide any remote prescribing or telemedicine services in private practice:  Yes  No

*If yes, please provide full details including the number of hours per month:*

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4.12 Please state whether you participate in any activities that fall outside of your area of specialty for which you require cover (e.g. voluntary work, complementary medicine):  Yes  No

*If yes, please provide full details:*

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4.13 Please state whether you plan to retire during the next 5 years:  Yes  No

*If yes, please provide the anticipated dates:*

from Private Practice:  from the NHS:  from Medico Legal Work:

4.14 If you have answered yes to 4.13 above, please state whether you intend to undertake any voluntary work after you retire  Yes  No

*If yes, please provide full details:*

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**SECTION 5: INDEMNITY HISTORY REQUIREMENTS**

5.1 Please provide details of your current and previous indemnity arrangements covering your private practice and what you now require for this insurance:

	Retroactive date	Effective date	Limit	Deductible	Premium	Insurer
Previous:	MM / YY	MM / YY				
Previous:	MM / YY	MM / YY				
Previous:	MM / YY	MM / YY				
Current:	MM / YY	MM / YY				

	Retroactive date	Effective date	Limit	Deductible
<b>Now Required:</b>	MM / YY	MM / YY		

**SECTION 6: CLAIMS EXPERIENCE**

6.1 Please answer the following questions in relation to the NHS, Private Practice and any overseas work. Please consider all relevant information and if in doubt, refer to your broker. Regarding all of the types of insurance to which this application form relates.

After full enquiry:

- a) have you **ever**:
- i. been subject to any form of disciplinary action or investigation by a regulator, employer or private hospital where you hold or have held practicing privileges?  Yes  No
  - ii. been subject to any claim, complaint or allegation of negligence (even if the outcome was in your favour)?  Yes  No
  - iii. been subject to any conditions or suspension to practice by any employer or private hospital where you hold or have held practicing privileges?  Yes  No
  - iv. been subject to any adverse findings, conditions, suspension or erasure by a regulator, registration body or equivalent?  Yes  No
  - v. had your practicing privileges suspended, reviewed or revoked?  Yes  No
- b) are you aware of any incidents or circumstances which may lead to:
- i. any claim, complaint or allegation of negligence?  Yes  No
  - ii. disciplinary action or suspension from practice?  Yes  No
  - iii. conditions or restriction on your practice?  Yes  No
  - iv. removal of your name from a Professional or Regulatory Register or suspension of practicing privileges?  Yes  No
  - v. any investigation by a regulator, registration body or equivalent?  Yes  No
- c) have you ever suffered a loss of data that has resulted in a privacy breach?  Yes  No

- d) have you ever been subject to a Medical Defence Organisation Adverse Member Procedure?  Yes  No
- e) have you ever had your membership of a Medical Defence Organisation or similar refused, cancelled or non-renewed?  Yes  No
- f) has any insurer ever declined to insure you, imposed special terms, cancelled or declined to renew your insurance?  Yes  No
- g) have you ever been convicted of any criminal offence or received a formal caution not spent under the Rehabilitation of Offenders Act 1974?  Yes  No

If the answer to any of the above is 'yes' then please attach full details including an explanation of the background of events, all relevant dates, the status of the claims or circumstances, the maximum amount involved or claimed and any reserves or payments made.

## SECTION 7: DECLARATION

I declare that:

- after full enquiry the answers to the questions contained in this application form, and any other information supplied by me, are substantially true, accurate and correct;
- I will inform underwriters before cover incepts of any change to the information supplied by me; and
- I understand that if any of the information contained in this application form or provided elsewhere is substantially untrue, inaccurate or incorrect, or I have not disclosed any other information that is material, the Policy may be avoided without any return of premium, the terms and conditions may change, a higher premium may become payable or we may reduce the amount of any claim payment.

Signed: _____	Full name: _____
Date: _____	

**Data Protection Act** – All personal information supplied by you will be treated in confidence by CFC Underwriting Limited and will not be disclosed to any third parties except where your consent has been received or where permitted by law. In order to provide you with products and services this information will be held in the data systems of CFC Underwriting Limited or our agents or subcontractors.

ADDITIONAL INFORMATION: